

# Descriptive complexity for counting complexity classes

Cristian Riveros

CIWS - PUC Chile

Joint work with

Marcelo Arenas and Martin Muñoz

Descriptive complexity has been very fruitful  
in connecting **logics** with **computational complexity**

NP	≡	∃SO
coNP	≡	∀SO
P	≡	LFP <sub>≤</sub>
NL	≡	TC <sub>≤</sub>
AC <sub>0</sub>	≡	FO + Bit
PSPACE	≡	PFP <sub>≤</sub>
⋮	⋮	⋮

Many **applications** in diverse areas like:

1. Computational complexity and logics.
2. Database management systems.
3. Verification systems.

...but computational complexity  
is not only about true or false

One would like to study the **complexity** of problems like:

*"How many valuations satisfies my boolean formula?"*

*"How many simple paths  
are connecting two vertices in my graph?"*

...but computational complexity  
is not only about true or false

Counting complexity classes	{	#P	≡	?
		SPANP	≡	?
		FP	≡	?
		#L	≡	?
		#PSPACE	≡	?
		⋮	⋮	⋮

...but computational complexity  
is not only about true or false

Counting complexity classes	}	#P	≡	?
		SPANP	≡	?
		FP	≡	?
		#L	≡	?
		#PSPACE	≡	?
		⋮	⋮	⋮

How can we describe this **counting** classes with logic?

# In this paper, we propose to use weighted logics for descriptive complexity of counting classes

We propose to use:

Quantitative Second Order Logics (QSO) = Weighted Logics over  $\mathbb{N}$

Specifically, our contributions are:

1. We show that QSO **captures** many counting complexity classes.
  - $\#P$ ,  $SPANP$ ,  $FP$ ,  $\#PSPACE$ ,  $MINP$ ,  $MAXP$ , ...
2. We use QSO to find classes below  $\#P$  that has good **tractable** and **closure** properties.
3. We show how to define **quantitative recursion** over QSO in order to capture classes below  $FP$ .

# Outline

Quantitative second order logic

QSO vs counting complexity

Below and beyond

# Outline

Quantitative second order logic

QSO vs counting complexity

Below and beyond



## Some notation and restrictions

Given a relational signature  $\mathbf{R} = \{R_1, \dots, R_k, <\}$ ,  
we consider **finite ordered structures** over  $\mathbf{R}$  of the form:

$$\mathfrak{A} = (A, R_1^{\mathfrak{A}}, \dots, R_k^{\mathfrak{A}}, <^{\mathfrak{A}})$$

where  $A$  is the domain and  $<^{\mathfrak{A}}$  is a **linear order** over  $A$ .

Let  $\text{STRUCT}(\mathbf{R})$  be the set of all finite ordered structures over  $\mathbf{R}$ .

We consider formulas of **Second Order logic** over  $\mathbf{R}$  of the form:

$$\varphi := \text{True} \mid x = y \mid R(\bar{u}) \mid X(\bar{v}) \mid \neg\varphi \mid (\varphi \vee \psi) \mid \exists x. \varphi \mid \exists X. \varphi$$

where  $R \in \mathbf{R}$  and  $x$  and  $X$  is a first and second order variable, respectively.

The semantics of a second order formula is defined as usual.

# Syntax of Quantitative Second Order logic

## Definition

A QSO-formula  $\alpha$  over  $\mathbf{R}$  is given by the following **syntax**:

$$\alpha := \varphi \in \text{SO} \mid s \mid (\alpha + \alpha) \mid (\alpha \cdot \alpha) \mid \Sigma x. \alpha \mid \Pi x. \alpha \mid \Sigma X. \alpha \mid \Pi X. \alpha$$

where  $\varphi$  is (boolean) second order formula and  $s \in \mathbb{N}$ .

## Example

Let  $\mathbf{R} = \{E(\cdot, \cdot), <\}$  where  $E$  encodes an edge relation.

$$\alpha := \Sigma x. \Sigma y. \Sigma z. (E(x, y) \wedge E(y, z) \wedge E(z, x) \wedge x < y \wedge y < z)$$

# Syntax of Quantitative Second Order logic

## Definition

A QSO-formula  $\alpha$  over  $\mathbf{R}$  is given by the following **syntax**:

$$\alpha := \varphi \in \text{SO} \mid s \mid (\alpha + \alpha) \mid (\alpha \cdot \alpha) \mid \Sigma x. \alpha \mid \Pi x. \alpha \mid \Sigma X. \alpha \mid \Pi X. \alpha$$

where  $\varphi$  is (boolean) second order formula and  $s \in \mathbb{N}$ .

## Example

Let  $\mathbf{R} = \{E(\cdot, \cdot), <\}$  where  $E$  encodes an edge relation.

$$\alpha := \Sigma x. \Sigma y. \Sigma z. \underbrace{(E(x, y) \wedge E(y, z) \wedge E(z, x) \wedge x < y \wedge y < z)}_{\text{SO formula } \varphi}$$

# Semantics of Quantitative Second Order logic

Given a QSO-formula  $\alpha$ ,  $\mathfrak{A} \in \text{STRUCT}(\mathbf{R})$  and a var. assignment  $v : \mathbf{X} \rightarrow A$  we define the **semantics**  $\llbracket \alpha \rrbracket : \text{STRUCT}(\mathbf{R}) \rightarrow \mathbb{N}$  recursively as follow:

$$\llbracket \varphi \rrbracket(\mathfrak{A}, v) = \begin{cases} 1 & \text{if } (\mathfrak{A}, v) \models \varphi \\ 0 & \text{otherwise} \end{cases}$$

$$\llbracket s \rrbracket(\mathfrak{A}, v) = s$$

$$\llbracket \alpha_1 + \alpha_2 \rrbracket(\mathfrak{A}, v) = \llbracket \alpha_1 \rrbracket(\mathfrak{A}, v) + \llbracket \alpha_2 \rrbracket(\mathfrak{A}, v)$$

$$\llbracket \alpha_1 \cdot \alpha_2 \rrbracket(\mathfrak{A}, v) = \llbracket \alpha_1 \rrbracket(\mathfrak{A}, v) \cdot \llbracket \alpha_2 \rrbracket(\mathfrak{A}, v)$$

$$\llbracket \sum x. \alpha \rrbracket(\mathfrak{A}, v) = \sum_{a \in A} \llbracket \alpha \rrbracket(\mathfrak{A}, v[a/x])$$

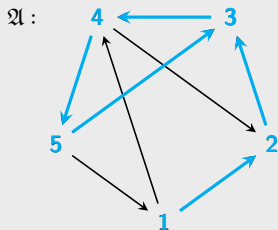
$$\llbracket \prod x. \alpha \rrbracket(\mathfrak{A}, v) = \prod_{a \in A} \llbracket \alpha \rrbracket(\mathfrak{A}, v[a/x])$$

$$\llbracket \sum X. \alpha \rrbracket(\mathfrak{A}, v) = \sum_{C \subseteq A^{\text{arity}(X)}} \llbracket \alpha \rrbracket(\mathfrak{A}, v[C/X])$$

$$\llbracket \prod X. \alpha \rrbracket(\mathfrak{A}, v) = \prod_{C \subseteq A^{\text{arity}(X)}} \llbracket \alpha \rrbracket(\mathfrak{A}, v[C/X])$$

# Semantics of Quantitative Second Order logic

Example (counting the triangles in a graph)



$\text{triangle}(x, y, z) := E(x, y) \wedge E(y, z) \wedge E(z, x) \wedge x < y \wedge y < z$

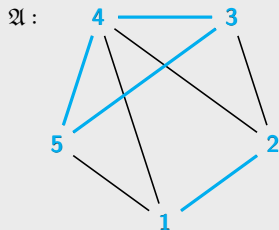
$\llbracket \text{triangle} \rrbracket(\mathfrak{A}, 3, 4, 5) = 1$        $\llbracket \text{triangle} \rrbracket(\mathfrak{A}, 1, 2, 3) = 0$

$\alpha := \sum x. \sum y. \sum z. \text{triangle}(x, y, z)$

$\llbracket \alpha \rrbracket(\mathfrak{A}) = 3$

# Semantics of Quantitative Second Order logic

Example (counting the number of cliques in a graph)



$\text{clique}(X) := \forall x. \forall y. (X(x) \wedge X(y) \wedge x \neq y) \rightarrow E(x, y)$

$\llbracket \text{clique} \rrbracket(\mathfrak{A}, \{3, 4, 5\}) = 1 \quad \llbracket \text{clique} \rrbracket(\mathfrak{A}, \{1, 2\}) = 1$

$\alpha := \Sigma X. \text{clique}(X)$

$\llbracket \alpha \rrbracket(\mathfrak{A}) = 18$

# Subfragments and extensions of QSO

$$\alpha := \varphi \in \text{SO} \mid s \mid (\alpha + \alpha) \mid (\alpha \cdot \alpha) \mid \Sigma x. \alpha \mid \Pi x. \alpha \mid \Sigma X. \alpha \mid \Pi X. \alpha$$

$$\text{QSO} = \underbrace{\text{QSO}}_{\alpha}(\overbrace{\text{SO}}^{\varphi})$$

We can restrict or extend the language of  $\varphi$ :

$\text{QSO}(\text{FO}) := \varphi$  is restricted to **FO logic**.

$\text{QSO}(\text{LFP}) := \varphi$  is restricted to **LFP logic**.

We can restrict or extend the language of  $\alpha$ :

$\text{QFO}(\text{SO}) := \alpha$  is restricted to **first order operators** (i.e.  $s, +, \Sigma x., \Pi x.$ ).

$\Sigma\text{QSO}(\text{SO}) := \alpha$  is restricted to **sum operators** (i.e.  $s, +, \Sigma x., \Sigma X.$ )

Or both  $\varphi$  and  $\alpha$ :

$\text{QFO}(\text{LFP}) = \alpha$  is restricted to **first order operators**  
and  $\varphi$  is restricted to **LFP logic**.

# Outline

Quantitative second order logic

**QSO vs counting complexity**

Below and beyond



# Capturing a counting complexity class with QSO

- Recall that a counting complexity  $\mathcal{C} \subseteq \{f : \Sigma^* \rightarrow \mathbb{N}\}$ .
- Let  $\text{enc}(\mathfrak{A})$  be any reasonable encoding of  $\mathfrak{A}$  into a string in  $\Sigma^*$ .

## Definition

Let  $\mathcal{F}$  be a fragment or extension of QSO and  $\mathcal{C}$  a counting complexity class. Then  $\mathcal{F}$  **captures**  $\mathcal{C}$  over ordered  $\mathbf{R}$ -structures if:

1. for every  $\alpha \in \mathcal{F}$ , there exists  $f \in \mathcal{C}$  such that  $\llbracket \alpha \rrbracket(\mathfrak{A}) = f(\text{enc}(\mathfrak{A}))$  for every  $\mathfrak{A} \in \text{STRUCT}[\mathbf{R}]$ .
2. for every  $f \in \mathcal{C}$ , there exists  $\alpha \in \mathcal{F}$  such that  $f(\text{enc}(\mathfrak{A})) = \llbracket \alpha \rrbracket(\mathfrak{A})$  for every  $\mathfrak{A} \in \text{STRUCT}[\mathbf{R}]$ .

$\mathcal{F}$  **captures**  $\mathcal{C}$  over ordered structures if  $\mathcal{F}$  captures  $\mathcal{C}$  over ordered  $\mathbf{R}$ -structures **for every signature**  $\mathbf{R}$ .

# What counting classes can be captured by QSO?

Counting complexity classes	}	$\#P$	$\equiv$	?
		SPANP	$\equiv$	?
		FP	$\equiv$	?
		$\#L$	$\equiv$	?
		$\#PSPACE$	$\equiv$	?
		$\vdots$	$\vdots$	$\vdots$

We show that most of these classes  
can be captured by subfragments or extensions of QSO

# How to capture #P?

$f \in \#P$  iff there exists an **NP machine**  $M$   
such that  $f(x) = \#\text{accepts}_M(x)$  for all  $x \in \Sigma^*$ .

$\Sigma\text{QSO}(\text{FO}) := \alpha$  restricted to **sum operators** (i.e.  $s, +, \Sigma x., \Sigma X.$ )  
and  $\varphi$  restricted to **FO logic**.

## Theorem

$\Sigma\text{QSO}(\text{FO})$  captures #P over ordered structures.

# How to capture SPANP?

$$\#P \equiv \Sigma QSO(FO)$$

---

$f \in \text{SPANP}$  iff there exists an **NP machine**  $M$  with **output** such that  $f(x) = \#\text{outputs}_M(x)$  for all  $x \in \Sigma^*$ .

$\Sigma QSO(\exists SO)$  :=  $\alpha$  restricted to **sum operators** (i.e.  $s, +, \Sigma x., \Sigma X.$ ) and  $\varphi$  restricted to **existential SO logic**.

## Theorem

$\Sigma QSO(\exists SO)$  captures SPANP over ordered structures.

$\#P$  and SPANP were shown to be captured by a different framework of Saluja et al. and Compton et al.

# How to capture FP?

$$\begin{aligned} \#P &\equiv \Sigma QSO(\text{FO}) \\ \text{SPANP} &\equiv \Sigma QSO(\exists \text{SO}) \end{aligned}$$

---

$f \in \text{FP}$  iff there exists **PTIME machine**  $M$  with output such that  $f(x) = M(x)$  for all  $x \in \Sigma^*$ .

$\text{QFO(LFP)}$  :=  $\alpha$  restricted to **first order op.** (i.e.  $+, \cdot, \Sigma x., \Pi x.$ ) and  $\varphi$  restricted to **LFP logic**.

## Theorem

$\text{QFO(LFP)}$  captures FP over ordered structures.

# How to capture FPSPACE?

$$\begin{aligned} \#P &\equiv \Sigma\text{QSO}(\text{FO}) \\ \text{SPANP} &\equiv \Sigma\text{QSO}(\exists\text{SO}) \\ \#P &\equiv \text{QFO}(\text{LFP}) \end{aligned}$$

---

$f \in \text{FPSPACE}$  iff there exists **PSPACE machine**  $M$  with output such that  $f(x) = M(x)$  for all  $x \in \Sigma^*$ .

$\text{QSO}(\text{PFP}) := \varphi$  restricted to **PFP logic**.

## Theorem

$\text{QSO}(\text{PFP})$  captures  $\text{FPSPACE}$  over ordered structures.

# How to capture FPSPACE(poly)?

#P	≡	ΣQSO(FO)
SPANP	≡	ΣQSO(∃SO)
#P	≡	QFO(LFP)
FPSPACE	≡	QSO(PFP)

---

$f \in \text{FPSPACE}(\text{poly})$  iff there exists **PSPACE machine**  $M$   
with output of polynomial size  
such that  $f(x) = M(x)$  for all  $x \in \Sigma^*$ .

$\text{QFO}(\text{PFP}) := \alpha$  restricted to **first order op.** (i.e.  $+, \cdot, \Sigma x., \Pi x.$ )  
and  $\varphi$  restricted to **PFP logic**.

## Theorem

$\text{QFO}(\text{PFP})$  captures  $\text{FPSPACE}(\text{poly})$  over ordered structures.

## More classes?

$\#P$	$\equiv$	$\Sigma QSO(FO)$
$SPANP$	$\equiv$	$\Sigma QSO(\exists SO)$
$\#P$	$\equiv$	$QFO(LFP)$
$FPSPACE$	$\equiv$	$QSO(PFP)$
$FPSPACE(\text{poly})$	$\equiv$	$QFO(PFP)$
$GAP$	$\equiv$	$\Sigma QSO_{\mathbb{Z}}(FO)$
$MAXP$	$\equiv$	$MaxQSO(FO)$
$MINP$	$\equiv$	$MinQSO(FO)$



# Outline

Quantitative second order logic

QSO vs counting complexity

**Below and beyond**

# Use QSO to understand classes **below** #P

$$\#P \equiv \Sigma\text{QSO}(\text{FO})$$

---

We consider subfragments below FO:

$$\Sigma_0 = \{ \theta \in \text{FO} \mid \theta \text{ has no first-order quantifiers} \}$$

$$\Sigma_1 = \{ \varphi \in \text{FO} \mid \varphi = \exists \bar{x}. \theta(\bar{x}) \wedge \theta \in \Sigma_0 \}$$

$$\Pi_1 = \{ \varphi \in \text{FO} \mid \varphi = \forall \bar{x}. \theta(\bar{x}) \wedge \theta \in \Sigma_0 \}$$

$$\Sigma_2 = \{ \varphi \in \text{FO} \mid \varphi = \exists \bar{x}. \forall \bar{y}. \theta(\bar{x}, \bar{y}) \wedge \theta \in \Sigma_0 \}$$

$$\Pi_2 = \{ \varphi \in \text{FO} \mid \varphi = \forall \bar{x}. \exists \bar{y}. \theta(\bar{x}, \bar{y}) \wedge \theta \in \Sigma_0 \}$$

Use QSO to understand classes **below** #P

$$\#P \equiv \Sigma\text{QSO}(\text{FO})$$


---

Saluja et. al. counting classes below #P

$$\#\Sigma_0 \not\subseteq \#\Sigma_1 \not\subseteq \#\Pi_1 \not\subseteq \#\Sigma_2 \not\subseteq \#\Pi_2 = \#\text{FO} \equiv \#P$$

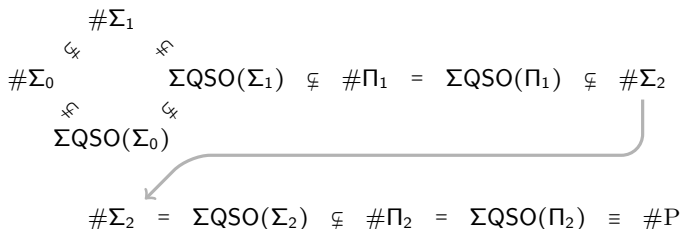
Theorem ( $\Sigma$ QSO-hierarchy)

$$\begin{array}{c}
 \#\Sigma_1 \\
 \swarrow \not\subseteq \quad \searrow \not\subseteq \\
 \#\Sigma_0 \quad \Sigma\text{QSO}(\Sigma_1) \not\subseteq \#\Pi_1 = \Sigma\text{QSO}(\Pi_1) \not\subseteq \#\Sigma_2 \\
 \swarrow \not\subseteq \quad \searrow \not\subseteq \\
 \Sigma\text{QSO}(\Sigma_0)
 \end{array}$$

$\# \Sigma_2 = \Sigma\text{QSO}(\Sigma_2) \not\subseteq \# \Pi_2 = \Sigma\text{QSO}(\Pi_2) \equiv \#P$

Use QSO to understand classes **below** #P

Theorem ( $\Sigma$ QSO-hierarchy)



Theorem (good decision and closure properties)

The class  $\Sigma \text{QSO}(\Sigma_1[\text{FO}])$  is closed under sum, multiplication and **subtraction by one**. Moreover,  $\Sigma \text{QSO}(\Sigma_1[\text{FO}]) \subseteq \text{TOTP}$  and every function in  $\Sigma \text{QSO}(\Sigma_1[\text{FO}])$  has an FPRAS.

**Subtraction by one** is the most technical result of the paper.

# Extend QSO to capture complexity classes **beyond** QSO

We extend QFO with **recursion**:

RQFO = QFO with **quantitative** recursion.

TQFO = QFO with **quantitative** transitive closure.

Theorem

1. RQFO(FO) captures FP over the class of ordered structures.
2. TQFO(FO) captures #L over the class of ordered structures.

## Conclusions and future work

*"We believe that **quantitative logics** are the right framework for Descriptive complexity of **counting complexity classes**."*

Plenty of open problems here ...

1. **Logical characterization** of classes like  $TOTP$ ,  $SPANL$ , ...
2. **Compl. characterization** of subfragments like  $QSO(FO)$ ,  $QFO(FO)$ , ...
3. Use quantitative logic to find complexity **classes with good properties**.
4. Understand the **expressiveness** of  $QSO$  and their subfragments.

Thanks! Questions?